

## **List of contents:**

### GENERAL INTRODUCTION

### CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

#### I.1 Introduction

#### I.2 Medical devices

#### I.3 Biocomaterial

##### I.3.1.History

##### I.3.2 Definition

#### I.4 Biocompatibility

##### I.4.1 definition

##### I.4.2 Components of biocompatibility

##### I.4.3 Uses for Biomaterials

##### I.4.4 Types of Biomaterials

##### I.4.5 Biomaterials associated infection

#### I.5 conclusion

### CHAPTER II: BIOCOMPATIBILITY TESTING

#### II. Methods of biocompatibility testing

##### II.1 Introduction

##### II.2 In vitro and in vivo tests

###### II.2.1 In vitro tests

###### II.2.2 Animal experiments

###### II.2.3 Clinical tests

##### II.3 Biocompatibility testing processes

###### II.3.1 Toxicology

###### II.3.2 Biocompatibility

###### II.3.3 Mechanical and Performance Requirements

### II.3.4 Regulation

### II.4 Standards for biomaterials and biocompatibility testing

### II.5 Conclusion

## GENERAL CONCLUSION

## ANNEXURE

## BIBLIOGRAPHY

# General introduction

## GENERAL INTRODUCTION

---

During the past few years, the biocompatibility of biomaterials (non-vital material intended to interact with biological systems within or on the human body) has evolved into a comprehensive, complex, and independent discipline of biomaterials science.

Biomaterials can be derived either from nature or synthesized in the laboratory using a variety of chemical approaches utilizing metallic components, polymers, ceramics or composite materials. They are often used and/or adopted for a medical application, and thus perform, augment, or replace a natural function. Such functions may be benign, like being used for a heart valve, or may be bioactive with a more interactive functionality such as coated hip implants. Biomaterials are also used every day in dental applications, surgery, and drug delivery. For example, a construct with impregnated pharmaceutical products can be placed into the body, which permits the prolonged release of a drug over an extended period of time. A biomaterial may also be an autograft, allograft or xenograft used as a transplant material.

An essential question that needs to be answered for the increasing need of biomaterials in safe human life is: how biomaterials can be evaluated to determine if they are biocompatible? And how biomaterials can be evaluated to determine whether they work appropriately in the in vivo environment?

In this thesis we will try to give answers to these questions, for this; in the chapter one we give some basic notion such as the definition of biomaterials; their properties and some their uses in the body, we give also definition for biocompatibility and their components.

In the chapter two we focus in methods of biocompatibility testing, and in the frame of it we present the performance requirements with effect in, in order to assess how the biocompatibility of a material process.

In the final of this chapter we summarize with a general conclusion.

# **Chapter 1**

## **Biomaterials and biocompatibility**

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

---

## **I.1 Introduction:**

The field of biomaterials include medical devices has turn into an electrifying area because these materials improve the quality and longevity of human life. The first and foremost necessity for the selection of the biomaterial is the acceptability by human body; so biocompatibility [1].

## **I.2 Medical devices:**

The term “medical devices” includes everything from highly sophisticated computerized medical equipment down to simple wooden tongue depressors. The intended primary mode of action of a medical device on the human body, in contrast with that of medicinal products, is not metabolic, immunological, or pharmacological [2].

Several different international classification systems for medical devices are still in use in the world today. The World Health Organization, with its partners, is working towards achieving harmonization in medical device nomenclature, which will have a significant impact on patient safety. This is particularly important to be able to identify adverse incident reports and recalls.

The Global Harmonization Task Force has proposed the following harmonized definition for medical devices; “Medical device” means any instrument, apparatus, implement, machine, appliance, implant, in vitro reagent or calibrator, software, material or other similar or related article, intended by the manufacturer to be used, alone or in combination, for human beings for one or more of the specific purposes of:

- Diagnosis, prevention, monitoring, treatment or alleviation of disease
- Diagnosis, monitoring, treatment, alleviation of or compensation for an injury
- Investigation, replacement, modification, or support of the anatomy or of a physiological process
- supporting or sustaining life
- Control of conception
- Disinfection of medical devices
- providing information for medical purposes by means of in vitro examination of specimens derived from the human body and which does not achieve its primary intended action in or on the human body by pharmacological, immunological or metabolic means, but which may be assisted in its function by such means.

Note: An accessory is not considered to be a medical device. However, where an accessory is intended specifically by its manufacturer to be used together with the ‘parent’ medical device to enable the medical device to achieve its intended purpose, it should be subject to the same procedures and GHTF guidance documents as apply to the medical device itself.

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

Note: The definition of a device for in vitro examination includes, for example, reagents, calibrators, sample collection devices, control materials, and related instruments or apparatus. The information provided by such an in vitro diagnostic device may be for diagnostic, monitoring or compatibility purposes. In some jurisdictions, reagents and the like may be covered by separate regulations.

Note: Products, which are considered to be medical devices in some jurisdictions but for which there is not yet a harmonized approach, are:

- Aids for disabled/handicapped people
- Devices for the treatment/diagnosis of diseases and injuries in animals
- Spare parts for medical devices
- Devices incorporating animal and human tissues which may meet the requirements of the above definition but be subject to different controls[2].

## **I.3 Biomaterials**

### **I.3.1. History:**

The first biomaterials used were gold and ivory for replacements of cranial defects. This was done by Egyptians and Romans. Biological materials such as placenta were used since the 1900s. Celluloid was the first man-made plastic used for cranial defects a polymethyl methacrylate (PMMA) was one of the first polymers accepted since World War II[3].

Some of the earliest biomaterial applications were as far back as ancient Phoenicia where loose teeth were bound together with gold wires for tying artificial ones to neighboring teeth[1].

In the early 1900's bone plates were successfully implemented to stabilize bone fractures and to accelerate their healing[1].

While by the time of the 1950's to 60's, blood vessel replacement were in clinical trials and artificial heart valves and hip joints were in development[1].

600 B.C	Samhita	Nose construction
1893-1912	W.A.Lane	Steel screws for fixation
1912	W.D.Sherman	Use of Vanadium steel plate
1938	P.Wiles	First total hip replacement
1952	A.B.Voorhees	Blood Vessel

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

---

1953	A.Kantrowitz Intraortic balloon pumping
1960	M.I.Edwards Heart valve
1980	W.J.Kolff Artificial Heart

## **I.3.2 Definition:**

In general, a biomaterial is defined as any substance, except food and medications that can be used for a length of time as part of a system that aims to treat or to replace any tissue, organ, or body function. Few materials, if any, are totally inert from a physiological standpoint; most materials present a variety of components with potential toxic or irritating properties. In addition, chemical reactions that occur during setting of the material may also produce noxious effects [4]

Biomaterials need to satisfy a number of prerequisites before that can be used in applications, including biocompatibility. To verify this feature, its components should be subjected to different tests, performed as recommended by various organizations and federations. These tests consist of a sequence of research protocols, described and regulated in many countries, for correct use of experimental materials under evaluation, thereby determining their safety for clinical application in humans [4].

## **I.4 Biocompatibility**

### **I.4.1 definition:**

Biocompatibility may be defined as:

“Ability of a biomaterial to perform its desired function with respect to a medical therapy, without eliciting any undesirable local or systemic effects in the recipient or beneficiary of that therapy, but generating the most appropriate beneficial cellular or tissue response to that specific situation, and optimizing the clinically relevant performance of that therapy [4].”

Biocompatibility can also be defined as the relationship between a material and the organism so that neither produces undesirable effects. Biocompatibility has been mentioned in many works with increasing interest in evaluating the characteristics of medical and dental materials and devices and responses caused by its components. An ideal pattern for determining these properties has not yet been determined, however, various methods have been suggested for this purpose [4].

The most accepted definition of biomaterials is currently the one employed by the American National Institute of Health that describes biomaterial as “any substance or combination of substances, other than drugs, synthetic or natural in origin, which can be used for any period of time, which augments or replaces partially or totally any tissue, organ or function of the body, in order to maintain or improve the quality of life of the individual” [3].

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

---

## I.4.2 Components of biocompatibility

In addition to the beneficial tissue response and the clinically relevant performance of a biomaterial, cytotoxicity, genotoxicity, mutagenicity, carcinogenicity and immunogenicity are considered to be the components which constitute “biocompatibility”

**Toxicity of a material** describes the ability to damage a biological system by chemical means. In higher organisms (animals, human beings), local toxicity – that is, adverse reactions emerging at the application site – is differentiated from systemic toxicity, in which adverse reactions appear in an area distant from the application site. Cytotoxicity refers to damage to individual cells, for example in cell cultures. Cells can die because of necrosis or apoptosis (programmed cell death).

**Immunogenicity** is referred to the ability of a substance to provoke an immune response or the degree to which it provokes a response. An allergic reaction to a substance can be triggered if the organism was previously sensitized to this substance. The concentrations that elicit a reaction in a previously sensitized person vary between subjects. The dose levels causing allergic reactions are generally significantly lower than those causing toxic reactions.

**Genotoxicity** describes an alteration of the basepair sequence of the genome DNA. Cells possess numerous mechanisms to repair genotoxic damages. Alternatively, a transfer of these genetic damages to subsequent generations of cells can be avoided by programmed cell death (apoptosis). Nonetheless, if these genetic damages are passed on to the next generation, this effect is called mutagenicity.

**Mutagenicity** and carcinogenicity are not the same. Carcinogenicity means that alterations in the DNA have caused a cell to grow and divide inappropriately; in other words, alterations of DNA promoted the generation of malignant tumors.

**Carcinogenicity** results from several mutations. It is important to understand that not all mutagenic events lead to carcinogenesis. However, mutagenicity can be assessed as an indicator of “possible” carcinogenicity of substances that directly attack DNA [1,5].

## I.4.3 Uses for Biomaterials:

One of the primary reasons that biomaterials are used is to physically replace hard or soft tissues that have become damaged or destroyed through some pathological process. Although the tissues and structures of the body perform for an extended period of time in most people, they do suffer from a variety of destructive processes, including fracture, infection, and cancer that cause pain, disfigurement, or loss of function. Under these circumstances, it may be possible to remove the diseased tissue and replace it with some suitable synthetic material [6].

### Orthopedics:

One of the most prominent application areas for biomaterials is for orthopedic implant devices. Both osteoarthritis and rheumatoid arthritis affect the structure of freely movable



## CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

---

(synovial) joints, such as the hip, knee, shoulder, ankle, and elbow. The pain in such joints, particularly weight-bearing joints such as the hip and knee, can be considerable, and the effects on ambulatory function quite devastating. It has been possible to replace these joints with prostheses since the advent of anesthesia, antisepsis, and antibiotics, and the relief of pain and restoration of mobility is well known to hundreds of thousands of patients. A variety of metals, polymers, and ceramics are used for such applications [6].

### Cardiovascular Applications:

In the cardiovascular, or circulatory system (the heart and blood vessels involved in circulating blood throughout the body), problems can arise with heart valves and arteries, both of which can be successfully treated with implants. The heart valves suffer from structural changes that prevent the valve from either fully opening or fully closing, and the diseased valve can be replaced with a variety of substitutes. As with orthopedic implants, ceramics, metals, and polymers are used as materials of construction. Arteries, particularly the coronary arteries and the vessels of the lower limbs, become blocked by fatty deposits (atherosclerosis), and it is possible in some cases to replace segments with artificial arteries. Polymers are the material of choice for vascular prostheses [6].

### Ophthalmics:

The tissues of the eye can suffer from several diseases, leading to reduced vision and eventually, blindness. Cataracts, for example, cause cloudiness of the lens. This may be replaced with a synthetic (polymer) intraocular lens. Materials for contact lenses, because they are in intimate contact with the tissues of the eye, are also considered biomaterials. As with intraocular lenses, they too are used to preserve and restore vision [6].

### Dental Applications:

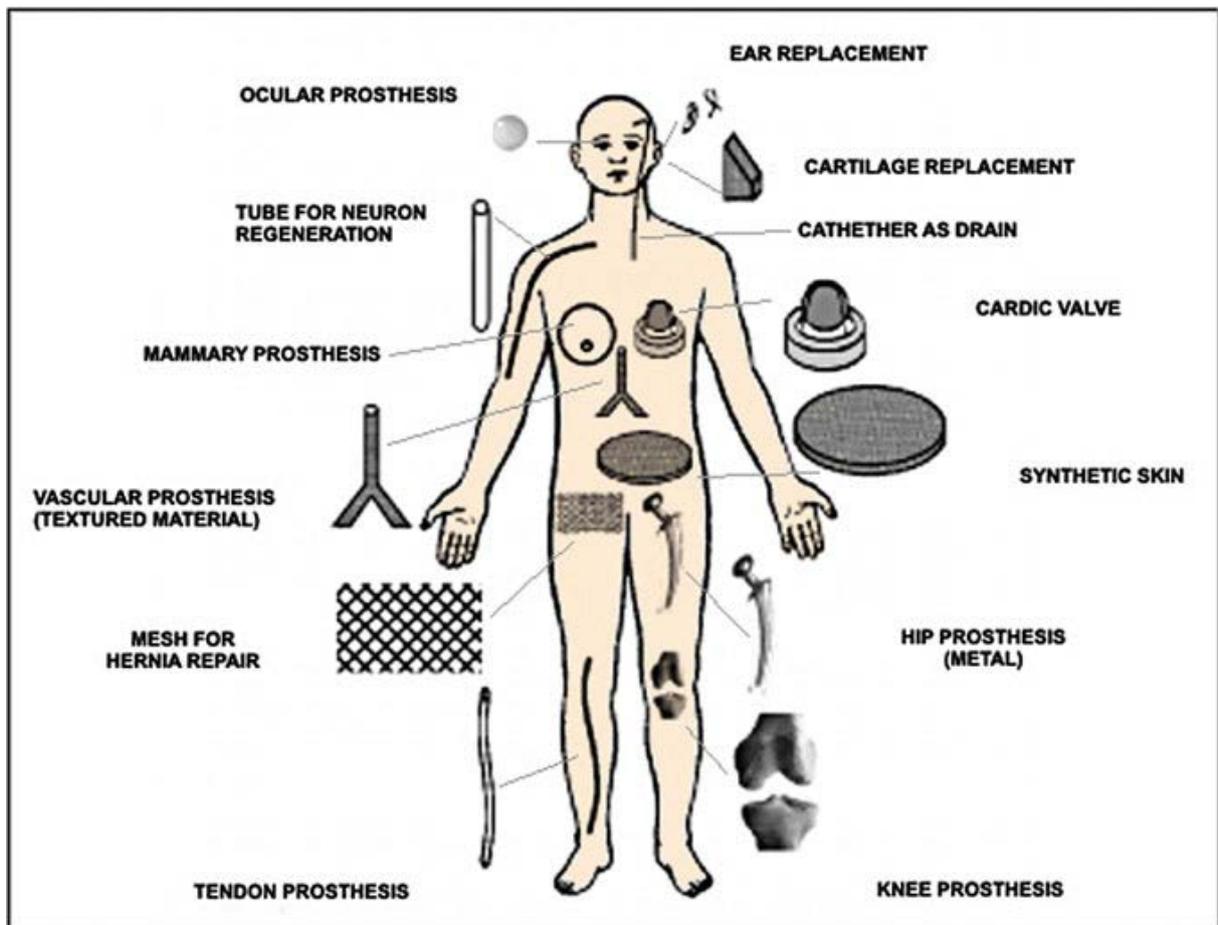
Within the mouth, both the tooth and supporting gum tissues can be readily destroyed by bacterially controlled diseases. Dental caries (cavities), the demineralization and dissolution of teeth associated with the metabolic activity in plaque (a film of mucus that traps bacteria on the surface of the teeth), can cause extensive tooth loss. Teeth in their entirety and segments of teeth either can be replaced or restored by a variety of materials [6].

### Wound Healing:

One of the oldest uses of implantable biomaterials can be traced back to the introduction of sutures for wound closure.

Types of Biomaterials Egyptians used linen as a suture as far back as 2000 b.c. Synthetic suture materials include both polymers (the most widely synthetic suture material) and some metals (e.g., stainless steels and tantalum) [6].

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY



*Fig.1 Examples of biomedical materials[3]*

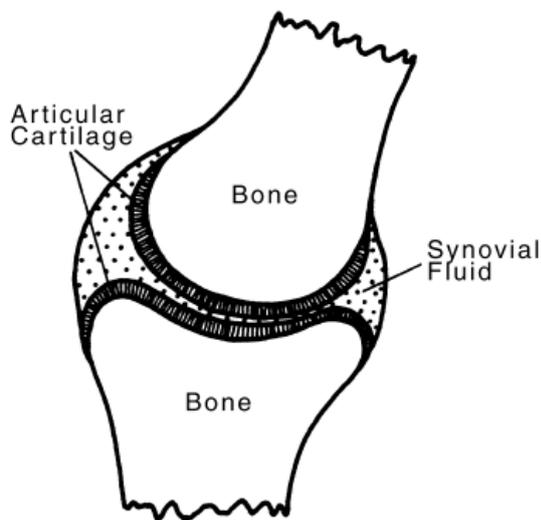
## Some commonly used biomaterials:

Material categorizes	Applications
Silicone rubber	Catheters, tubing
Dacron	Vascular grafts
Cellulose	Dialysis membranes
Poly(methylmethacrylate)	Intraocular lenses, bone cement
Polyurethanes	Catheters, pacemaker leads
Hydrogels	Ophthalmological devices, Drug Delivery
Stainless steel	Orthopedic devices, stents
Titanium	Orthopedic and dental devices
Alumina	Orthopedic and dental devices
Hydroxyapatite	Orthopedic and dental devices
Collagen (reprocessed)	Ophthalmologic applications, wound dressings

*Table 1.1: materials and their applications [2]*

## I.4.4 Types of Biomaterials:

Most synthetic biomaterials used for implants are common materials familiar to the average materials engineer or scientist. In general, these materials can be divided into the following categories: metals, polymers, ceramics, and composites.



*Fig.2: Schematic showing key components of a natural synovial joint. It consists of layers of bearing material (articular cartilage) mounted on relatively hard bones forming the skeletal frame. The synovial fluid acts as a lubricant. In an artificial joint, lubrication is supplied by low-friction polymeric bearing materials [6]*

### Metals:

As a class of materials, metals are the most widely used for load-bearing implants. For instance, some of the most common orthopedic surgeries involve the implantation of metallic implants. These range from simple wires and screws to fracture fixation plates and total joint prostheses (artificial joints) for hips, knees, shoulders, ankles, and so on. In addition to orthopedics, metallic implants are used in maxillofacial surgery, cardiovascular surgery, and as dental materials. Although many metals and alloys are used for medical device applications, the most commonly employed are stainless steels, commercially pure titanium and titanium alloys, and cobalt-base alloys [6].

### Polymers:

A wide variety of polymers are used in medicine as biomaterials. Their applications range from facial prostheses to tracheal tubes, from kidney and liver parts to heart components, and from dentures to hip and knee joints. Review the use of polymers for these applications. Polymeric materials are also used for medical adhesives and sealants and for coatings that serve a variety of functions [6].

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

---

## Ceramics:

Traditionally, ceramics have seen wide scale use as restorative materials in dentistry. These include materials for crowns, cements, and dentures. However, their use in other fields of biomedicine has not been as extensive, compared to metals and polymers. For example, the poor fracture toughness of ceramics severely limits their use for load-bearing applications; some ceramic materials are used for joint replacement and bone repair and augmentation. Review the uses of ceramics for non-dental biomedical applications[6].

## Composites:

The most successful composite biomaterials are used in the field of dentistry as restorative materials or dental cements. Although carbon-carbon and carbon reinforced polymer composites are of great interest for bone repair and joint replacement because of their low elastic modulus levels, these materials have not displayed a combination of mechanical and biological properties appropriate to these applications. Composite materials are, however, used extensively for prosthetic limbs, where their combination of low density/weight and high strength make them ideal materials for such applications[6].

## Natural Biomaterials:

Although the biomaterials are synthetic materials, there are several materials derived from the animal or plant world being considered for use as biomaterials that deserve brief mention. One of the advantages of using natural materials for implants is that they are similar to materials familiar to the body. In this regard, the field of biomimetics (or mimicking nature) is growing. Natural materials do not usually offer the problems of toxicity often faced by synthetic materials. Also, they may carry specific protein binding sites and other biochemical signals that may assist in tissue healing or integration. However, natural materials can be subject to problems of immunogenicity. Another problem faced by these materials, especially natural polymers, is their tendency to denature or decompose at temperatures below their melting points. This severely limits their fabrication into implants of different sizes and shapes. An example of a natural material is collagen, which exists mostly in fibril form, has a characteristic triple-helix structure, and is the most prevalent protein in the animal world. For example, almost 50% of the protein in cowhide is collagen. It forms a significant component of connective tissue such as bone, tendons, ligaments, and skin.

There are at least ten different types of collagen in the body. Among these, type I is found predominantly in skin, bone, and tendons; type II is found in articular cartilage in joints; and type III is a major constituent of blood vessels. Collagen is being studied extensively for use as a biomaterial. It is usually implanted in sponge form that does not have significant mechanical strength or stiffness. It has shown good promise as a scaffold for new tissue growth and is commercially available as a product for wound healing. Injectable collagen is widely used for the augmentation or buildup of dermal tissue for cosmetic reasons. Other natural materials under consideration include coral, chitin (from insects and crustaceans), keratin (from hair), and cellulose (from plants)[6].

# CHAPTER I: BIOMATERIALS AND BIOCOMPATIBILITY

## **I.4.5 Biomaterials associated infection;**

Biomaterials associated infection (BAI) is one of the most common complications associated with implantation of any biomaterial regardless of form or function. These infections usually involve bacterial colonization and biofilm formation on the biomaterial itself, rendering the infection impervious to antimicrobials and host defenses. In addition, it is becoming increasingly clear that infection of the surrounding tissues also plays an important role in BAI, and that the infection may be influenced by the composition and design of the implanted biomaterial. Advantages and disadvantages of biomaterials in some substances commonly used in implants are tabled below:

<b>Biomaterials</b>	<b>Advantages</b>	<b>Disadvantages</b>	<b>Types of Biomaterials</b>
Polymeric	<ul style="list-style-type: none"> <li>Easy to make complicated items</li> <li>- Tailorable physical &amp; mechanical properties</li> <li>- Surface modification</li> <li>- Immobilize cell etc.</li> <li>- Biodegradable</li> </ul>	<ul style="list-style-type: none"> <li>- Leachable compounds</li> <li>- Absorb water &amp; proteins etc.</li> <li>- Surface contamination</li> <li>- Wear &amp; breakdown</li> <li>- Biodegradation</li> <li>- Difficult to sterilize</li> </ul>	<ul style="list-style-type: none"> <li>- PMMA</li> <li>- PVC</li> <li>- PLA/PGA</li> <li>- PE</li> <li>- PP</li> <li>- PA</li> <li>- PTFE</li> <li>- PET</li> <li>- PUR</li> <li>- Silicones</li> </ul>
Bioceramic	<ul style="list-style-type: none"> <li>- High compression strength</li> <li>- Wear &amp; corrosion resistance</li> <li>- Can be highly polished</li> <li>- Bioactive/inert</li> </ul>	<ul style="list-style-type: none"> <li>High modulus (mismatched with bone)</li> <li>- Low strength in tension</li> <li>- Low fracture toughness</li> <li>- Difficult to fabricate</li> </ul>	<ul style="list-style-type: none"> <li>Alumina</li> <li>- Zirconia (partially stabilized)</li> <li>- Silicate glass</li> <li>- Calcium phosphate (apatite)</li> <li>- Calcium carbonate</li> </ul>
Metallic	<ul style="list-style-type: none"> <li>High strength</li> <li>- Fatigue resistance</li> <li>- Wear resistance</li> <li>- Easy fabrication</li> <li>- Easy to sterilize</li> <li>- Shape memory</li> </ul>	<ul style="list-style-type: none"> <li>- High modulus</li> <li>- Corrosion</li> <li>- Metal ion sensitivity and toxicity</li> <li>- Metallic looking</li> </ul>	<ul style="list-style-type: none"> <li>Stainless steel (316L)</li> <li>- Co-Cr alloys</li> <li>- Ti<sub>6</sub>Al<sub>4</sub>V</li> <li>- Au-Ag-Cu-Pd alloys</li> <li>- Amalgam (AgSnCuZnHg)</li> <li>- Ni-Ti</li> <li>- Titanium</li> </ul>

*Table 1.2: Advantages and disadvantages of biomaterials.* [2]

### **I.5 Conclusion:**

Biomaterials have found growing application in healthcare technology industry including drug delivery systems, active substance encapsulation, tissue scaffolds, wound care, implants, cosmetics and diagnostics. There is a growing interest in the processing of biomaterials as developers find new applications.

A biomaterial is essentially a material that is used and adapted for a medical application. Biomaterials can have a benign function, such as being used for a heart valve, or may be bioactive. Used for a more interactive purpose such as hydroxy-apatite coated hip implants and such implants are lasting upwards of twenty years.

**Chapter2:**  
**Biocompatibility**  
**testing**

### II. Methods of biocompatibility testing:

#### II.1 Introduction:

Biomaterials are developed in order to evaluate, treat, augment or replace human tissue, organ or function. Biocompatibility is the main prerequisite for their safe use as medical devices. In order to assess the biocompatibility of a material, it is necessary to do a battery of tests, depending on the intended use, location and duration the material is to come in contact with the tissues. Biocompatibility is measured with 3 types of biologic tests: in vitro tests (level I), animal experiments (level II) and clinical tests (level III) [1].

To conduct these tests, it is necessary to involve health researchers for research methodology development and evaluation of the tissue and researchers for development of materials and their properties, such as engineers and chemists (Fig.2) [4].



*Fig. 3 Plan of biocompatibility tests in order.*

The common approach when testing the biological behavior of materials is to start with simple in vitro tests. If these experiments and investigations of a material's efficiency deliver promising findings, then more comprehensive studies on experimental animals (in vivo evaluation) will be performed. Clinical trials (usage tests) are the final step of this evaluation process.

#### II.2 In vitro and in vivo tests:

The ideal biological research methodology consists of in vivo experiments, despite the ethical aspects involved. Nevertheless, although in vitro studies provide responses limited 50 Polymerization by the absence of biological and physiological components that are impossible to reproduce entirely, they continue to be used and are suitable for determining whether a material contains significant quantities of extractable biologically harmful components.

Clinically, the results are divergent. Studies in vitro could report no damage, moderate damage or intense damage. This reinforces the effect of the physiology present in in vivo systems, but does not diminish the relevance of in vitro research. In vitro research remains important for pointing out pathways for studies of the adverse reactions recorded clinically.

In in vitro cell cultures, the complex physiology of an organism performing various functions simultaneously is not present. Thus, the buffer capacity of complex humoral and cellular systems in the intact organism is absent; a biomaterial may not work well in the in vitro test, but may be biocompatible in vivo.

## CHAPTER II : BIOCOMPATIBILITY TESTING

This highlights the necessity of integrated in vitro and in vivo tests for valuable predictive estimation of the toxicity of complex materials [4].

### II.2.1 In vitro tests

In vitro biocompatibility tests are less expensive ways to survey newly developed materials. They simulate biological reactions to materials when they are placed on or into tissues of the body. These tests are performed in a test tube, cell-culture dish, or otherwise outside of a living organism in which cells or bacteria are generally placed in contact with a material. For example, a strain of bacteria may be used to assess the ability of a material to cause mutations (the Ames test). The advantages of in vitro biocompatibility tests are, being experimentally controllable, repeatable, fast, relatively inexpensive and relatively simple.

Another major advantage is that these tests generally avoid the ethical and legal issues that surround the use of animals and humans for testing. The primary disadvantage of in vitro biocompatibility tests is their questionable clinical relevance [5].

The table below makes a comparison between advantages and disadvantages of in vitro tests;

<b>Advantages</b>	<b>Disadvantages</b>
-In vitro test, done in controlled experimental condition -Most rapid -Repeatable -Economical	-Lack of relevance to in vivo use of material -Lack of immune, inflammatory & circulatory system

### II.2.2 Animal experiments

In animal experiments, the material is placed into an animal, usually a mammal. For example, the material may be implanted into a mouse or placed into the tooth of a rat, dog, cat, sheep, goat or monkey. Animal models allow the evaluation of materials over long time durations and in different tissue qualities (e.g. normal healthy or osteopenic bone) and ages.

Not only can the tissues in the immediate vicinity be assessed, but, tissues in remote locations of the implanted material can also be studied, which is particularly relevant to the study of wear particle debris. However, questions arise about the appropriateness of an animal species to represent the human response and that they are time-consuming and expensive. In animal experiments, ethical concerns and animal welfare issues are very important [5].

The table below makes a comparison between advantages and disadvantages of in vivo tests;

<b>Advantages</b>	<b>Disadvantages</b>
-Intact biologic system to respond to a material -Provide important bridge between in vitro environment & clinical use of material	-More expensive & difficult to control -Time consuming -Ethical concerns

## CHAPTER II : BIOCOMPATIBILITY TESTING

### II.2.3 Clinical tests

The clinical test is, by definition, the most relevant biocompatibility test. These tests are essentially clinical trials of a material in which the material is placed into a human volunteer in its final intended use. In a controlled clinical study, test and control materials are examined at the same time. Controlled clinical studies possess a higher level of significance/evidence compared with studies in which only one material is investigated.

Biocompatibility data from clinical studies are naturally of special interest for the clinician, since the examination was done on the target group of this material (patients). But this should not conceal the fact that clinical studies reveal limitations, too. An uncritical transfer of such results to patients in daily practice may result in problems, for instance, if data are not based on a blinded study. Therefore, at least treatment and subsequent assessment should be done by different persons. Many unwanted reactions appear only after chronic exposure. But clinical studies – in particular those with new materials – are frequently limited to comparatively short periods of time (some are only 6 months). In addition, only a small and often strictly selected group of patients is included in the study, for instance in a university hospital. The clinical studies are also expensive, time-consuming, extraordinarily difficult to control its variables, difficult to interpret and may be legally and ethically complex. Clinical tests are done only if satisfactory results are obtained in the in vitro and animal experiments [5].

The table below makes a comparison between advantages and disadvantages of clinical tests;

<b>Advantages</b>	<b>Disadvantages</b>
-Material placed in an environment clinically relevant to its use in clinical practice	-Extremely complex & difficult to perform -Exceptionally expensive & very time consuming -Ethical concerns

### II.3 Biocompatibility testing processes:

Biocompatibility testing includes several specialized processes; some of them are explaining below:

#### II.3.1. Toxicology:

A biomaterial should not be toxic, unless it is specifically engineered for such requirements (for example, a “smart bomb” drug delivery system that targets cancer cells and destroys them). Since the nontoxic requirement is the norm, toxicology for biomaterials has evolved into a sophisticated science. It deals with the substances that migrate out of biomaterials. For example, for polymers, many low-molecular-weight “leachables” exhibit some level of physiologic activity and cell toxicity. It is reasonable to say that a biomaterial should not give off anything from its mass unless it is specifically designed to do so. Toxicology also deals with methods to evaluate how well this design criterion is met when a new biomaterial is under development [2].

## CHAPTER II : BIOCOMPATIBILITY TESTING

---

### **II.3.2. Biocompatibility**

Biocompatibility is determined as the ability of a material to co-exist and perform with a natural substance in a specific biological application. Since the material should be non toxic to perform with an appropriate host response, which having a biomaterial interface with human body are required to perform a particular physiological function such as that of stent, knee replacement or pacemaker. Biomaterials incorporated into medical devices are implanted into tissues and organs. Therefore, the key principles governing the structure of normal and abnormal cells, tissues and organs, the techniques by which the structure and function of normal and abnormal tissue are studied, and the fundamental mechanisms of disease processes are critical considerations.

Special processes are invoked when a material or device heals in the body. Injury to tissue will stimulate the well-defined inflammatory reaction sequence that leads to healing. Where a foreign body (e.g., an implant) is present in the wound site (surgical incision), the reaction sequence is referred to as the “foreign body reaction.”

The normal response of the body will be modulated because of the solid implant. Furthermore, this reaction will differ in intensity and duration depending upon the anatomical site involved. An understanding of how a foreign object alters the normal inflammatory reaction sequence remain an important concern [2].

### **II.3.3 Mechanical and Performance Requirements**

An intraocular lens may go into the lens capsule or the anterior chamber of the eye. A hip joint will be implanted in bone across an articulating joint space. A heart valve will be sutured into cardiac muscle and will contact both soft tissue and blood.

A catheter may be placed in an artery, a vein or the urinary tract. Each of these sites challenges the biomedical device designer with special requirements for geometry, size, mechanical properties, and bioresponses.

Biomaterials and devices have mechanical and performance requirements that originate from the physical and /or electrochemical properties of the material. Such requirements vary in mechanical properties for example: Hip prosthesis must be strong and rigid, tendon material must be strong and flexible, heart valve leaflet must be flexible and tough, dialysis membrane must be strong and flexible, but not elastomeric, articular cartilage substitute must be soft and elastomeric. Then, mechanical performance varies based on a diverse range of requirements. Similarly the duration of contact also varies, for example: a catheter may be required for just 3 days; bone plate may fulfill its function in 6 months or longer, leaflet in a heart valve must flex 60 times per minute without tearing for the lifetime of the patient (realistically, at least for 10 or more years), hip joint must not fail under heavy loads for more than 10 years and so on. There are also other biophysical properties and other aspects of performance. The dialysis membrane has a specified permeability, the articular cup of the hip joint must have high lubricity, and the intraocular lens has clarity and refraction requirements. To meet these

## CHAPTER II : BIOCOMPATIBILITY TESTING

requirements, design principles from physics, chemistry, mechanical engineering, chemical engineering, and materials science are to be accurately integrated [2].

### **II.3.4. Regulation:**

Patient care demands safe medical devices. To prevent inadequately tested devices and materials from coming on the market. Most nations of the world have medical device regulatory bodies. In addition the International Standards Organization (ISO) has introduced international standards for the world community. The costs to comply with the standards and to implement materials, biological, and clinical testing are enormous. As per regulatory requirement testing and other factors involved in biomaterials can be categorized as below [2]:

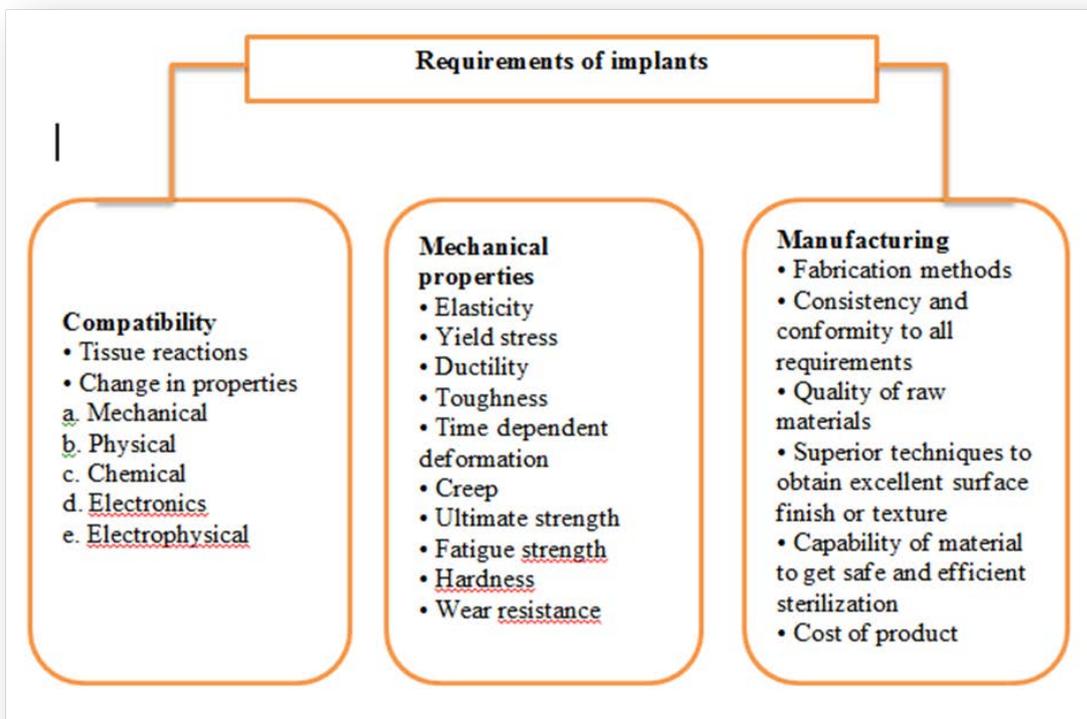


Table 1.2: Requirements of Implants. [6]

### **II.4 Standards for biomaterials and biocompatibility testing:**

The starting point for understanding biocompatibility requirements is ISO Standard 10993, Biological Evaluation of Medical Devices. Part 1 of the standard is the Guidance on Selection of Tests, Part 2 covers animal welfare requirements, and Parts 3 through 19 are guidelines for specific test procedures or other testing related issues. (A list of the individual sections of ISO 10993 can be found in (Annexure A) and other relevant standards existed also.

## CHAPTER II : BIOCOMPATIBILITY TESTING

---

The core of the ISO Standard is confirmation of the fitness of the device for its intended use. Although ISO develop policy and publishes standards related to conformity assessment, it does not perform conformity assessment activities.

ISO 17025 state the general requirement for the competence of testing and calibration laboratories. This is the main ISO standard used by testing and calibration laboratories (Annexure A) [2].

### **II.5 Conclusion:**

The purpose of the ISO Standard is to help our customers better understand biocompatibility and how establishes that a material or product is safe for skin contact applications.

After the comparison between in vivo and in vitro tests we conclude that only a combination of the two tests can provide an overview of the interaction of biomaterials with the host.

## GENERAL CONCLUSION

---

As the number of available materials increases, it becomes more and more important to be protected from unsuitable products or materials. This purpose is assured by the mean of biocompatibility tests.

For the biocompatibility of a material to be proved, it must be subjected to various studies ranging from in vitro assays to clinical trials and involving distinct areas such as pharmaceuticals, biology, chemistry, and toxicology. The use of standardized tests allows better comparison between the results of different studies to clarify the behavior of the materials and their safety in relation to cells and tissues.

After the comparison realized in this work between the several methods of biocompatibility testing we found that:

- A combination of various in vitro and in vivo tests can provide an overview of the interaction of biomaterials with the host.
- The evaluation of biocompatibility is dependent not only on the tested biomaterial but also on the test method used. So clinicians need to be familiar with these methods.

# ANNEXURE

## ANNEXURE

---

### Annexure A:

S.No	Standards	Title	Description
1	ISO 10993	Biocompatibility	This standard gives the basic guidelines of biocompatibility
2	ISO 10993-1:2009	Evaluation and testing in the risk of management process	<ul style="list-style-type: none"><li>-The general principle governing the biological evaluation of medical devices within a risk management process;</li><li>-The general categorization of devices based on the nature and duration of their contact with the body;</li><li>-The evaluation of exiting relevant data from all sources;</li><li>-The identification of gaps in the available data set on the basis of a risk analysis;</li><li>-The identification of additional data sets necessary to analyze the biological safety of the medical device;</li><li>-The assessment of the biological safety of the medical device;</li></ul>
3	ISO 10993-2	Animal welfare requirements	The standard specifies the minimum requirements to be satisfied to ensure and demonstrate that proper provision has been made for the welfare of animals used in animal tests to assess the biocompatibility of materials used in medical devices.
4	ISO 10993-3	Tests for genotoxicity, carcinogenicity and reproductive toxicity	The standard specifies strategies for hazard identification and tests on medical devices for the following biological aspects: genotoxicity, carcinogenicity, and reproductive and developmental toxicity

## ANNEXURE

5	ISO 10993-4	Selections of tests for interactions with blood	<p>The standard provide general requirement for evaluation the intersections of medical devices with blood. It describes:</p> <ul style="list-style-type: none"> <li>-A classification of medical and dental devices that are intended for use in contact with blood, based on the intended use and duration of contact as defined in ISO 10993-1;</li> <li>-The fundamental principle governing the evaluation of the interaction of devices with blood;</li> <li>-The rationale for structured selection of tests according to specific categories, together with the principle and scientific basis of these tests. Detailed requirements for testing cannot be specified because of limitations in the knowledge and precision of tests for interactions of devices with blood. ISO 10993- 4:2002 describes biological evaluation in general terms and may not necessarily provide sufficient guidance for tests methods for a specific device.</li> </ul>
6	ISO 10993-5	Tests for in vitro Cytocompatibility evaluation	<p>The standard describes test methods to assess the in vitro Cytocompatibility evaluation of medical devices. These methods specify the incubation of cultures cells in contact with a device and/or extracts of a device either directly or through diffusion.</p> <p>These methods are designed to determine the biological response of mammalian cells in vitro using appropriate parameters.</p>
7	ISO 10993-6	Tests for local effects after implantation	<p>The standard specifies tests methods for the assessment of the local effects after implantation of biomaterials intended for use in medical devices.</p> <p>ISO 10993-6:2007 applies to materials</p>

## ANNEXURE

			<p>that are:</p> <ul style="list-style-type: none"> <li>- Solid and non-biodegradable;</li> <li>- Degradable and/or resorbable;</li> <li>- Non-solid, such as porous materials, liquids, pastes and particulates.</li> </ul> <p>ISO 10993-6:2007 may also be applied to medical devices that are intended to be used topically in clinical indications where the surface or lining may have been breached, in order to evaluate local tissue responses.</p>
8	ISO 10993-7	Ethylene oxide sterilization residuals	<p>The standard specifies allowable limits for residual ethylene oxide (EO) and ethylene chlorohydrin (ECH) in individual EO-sterilized medical devices, procedures for the measurement of EO and ECH, and methods for determining compliance so that devices may be released. Additional background, including guidance and a flowchart showing how the standard is applied are also included in informative annexes. EO-sterilized devices that have no patient contact (e.g., in vitro diagnostic devices) are not covered by ISO 10993-7:2008.</p>
9	ISO 10993-8	Selection of reference materials	<p>The standard gives guidance on selection and qualification of reference materials for biological test (usually sent by the client).</p>
10	ISO 10993-9	Framework for identification and quantification of potential degradation products	<p>The standard provides general principle for the systematic evaluation of the potential and observed biodegradation of medical devices and for the design and performance of biodegradation studies. ISO 10993-9: 2008 consider both non-resorbable and resorbable materials</p>
11	ISO 10993-10	Tests for irritation and delayed-type	<p>The standard describes the procedure for the assessment of medical devices and</p>

## ANNEXURE

		hypersensitivity	<p>their constituent materials with regard to their potential to produce irritation and skin sensitization. ISO 10993-10:2010 includes:</p> <ul style="list-style-type: none"> <li>-Pretest considerations for irritation in silico and in vitro methods for dermal exposure;</li> <li>-Details of in vivo (irritation and sensitization) test procedures;</li> <li>-Key factors for the interpretation of the results.</li> </ul> <p>Instructions are given for the preparation of materials specifically in relation to the above tests and several special irritation tests are described for application of medical devices in areas other than skin.</p>
12	ISO 10993-11	Tests for systemic toxicity	<p>The standard specifies requirements and gives guidance on procedures to be followed in the evaluation of the potential for medical device materials to cause adverse systemic reactions.</p>
13	ISO 10993-12	Sample preparation and reference materials	<p>The standard specifies requirements and gives on the procedures to be followed in the preparation of samples and the selection of reference materials for medical device testing in biological systems in accordance with one or more parts of ISO 10993. Specifically, ISO 10993-12:2012 addresses the following:</p> <ul style="list-style-type: none"> <li>-Test sample selection;</li> <li>-Selection of representative portions from a device;</li> <li>-Test sample preparation;</li> <li>-Experimental controls;</li> <li>-Selection of, and requirements for, reference materials;</li> </ul>

## ANNEXURE

			<p>-Preparation of extracts.</p> <p>ISO 10993-12:2012 is not applicable to live cells, but can be relevant to the material or device components of combination products containing live cells.</p>
14	ISO 10993-13	Identification and quantification of degradation products from polymeric medical devices	ISO 10993-13:2010 provides general requirements for the design of tests in a simulated environment for identifying and quantifying degradation products from finished polymeric medical devices ready for clinical use.
15	ISO 10993-14	Identification and quantification of degradation products from ceramics	Biological evaluation of medical devices Part 14: Identification and quantification of degradation products from ceramics
16	ISO 10993-15	Identification and quantification of degradation products from metals and alloys	Biological evaluation of medical devices Part 15: Identification and quantification of degradation products from metals and alloys
17	ISO 10993-16	Toxicokinetic study design for degradation products and leachables	Biological evaluation of medical devices Part 16: Toxicokinetic study design for degradation products and Leachables
18	ISO 10993-17	Establishment of allowable limits for leachable substances	Biological evaluation of medical devices Part 17: Establishment of allowable limits for leachable substances
19	ISO 10993-18	Chemical characterization of materials	Biological evaluation of medical devices Part 18: Chemical characterization of materials
20	ISO 10993-19	Physicochemical, morphological and topographical characterization of materials	Physicochemical, Morphological and topographical characterization of materials Biological evaluation of medical devices Part 19: Physico-chemical, morphological and topographical characterization of materials

## ANNEXURE

---

21	ISO 10993-20	Principles and methods for immunotoxicology testing of medical devices	Biological evaluation of medical devices Part 20: Principles and methods for immunotoxicology testing of medical devices
----	--------------	--	---

## **BIBLIOGRAPHY:**

- [1] AmitAherwar, « *Current and future biocompatibility aspects of biomaterials for hip prosthesis* », Article in AIMS Journal, 2016.
- [2] «*MEDICAL DEVICE REGULATIONS Global overview and guiding principles*», International Journal of Pharmacy and Pharmaceutical Sciences.
- [3] Williams, D.F.:, « *Williams dictionary of biomaterials* », 1999.
- [4] Isabel Cristina Celerino de Moraes Porto(Ed), « *Polymer Biocompatibility* », pp.47-62.
- [5] WaleedElshahawy, Biocompatibility, «*Advances in Ceramics - Electric and Magnetic CeramicsBioceramics, Ceramics and Environment* », Prof. Costas Sikalidis (Ed.), InTech, pp.359-377, 2011.
- [6] « *Handbook of Materials for Medical Devices* », Overview of Biomaterials and Their Use in Medical Devices, pp. 1-11, 2003.
- [7]Elisabeth Rosen, « *Development of an In-Vitro Biocompatibility Test for Materials with Respect to its Applicability for the Implantable Direct Glucose Fuel Cell* ».Diploma Thesis, 2006.
- [8] Rosario Pignatello, « *BIOMATERIALS SCIENCE AND ENGINEERING* », International Journal of Pharmacy and Pharmaceutical Sciences, pp. 1-456, 2011.
- [9] K.L. Sharma, « *Biomaterial & Biocompatibility Testing Laboratory* », article, New Delhi,2015.
- [10] AMOGH TATHE, MANGESH GHODKE AND ANNA PRATIMA NIKALJE, « *A BRIEF REVIEW: BIOMATERIALS AND THEIR APPLICATION*», International Journal of Pharmacy and Pharmaceutical Sciences, 2010.

---

[11] Thomas HartvigLindkær Jensen, « *Development of a novel biomaterial a nanotechnological approach*», PhD thesis, 2009.

[12] U. Müller, « *In Vitro Biocompatibility Testing of Biomaterials and Medical Devices*», artical, 2008.

[13] <https://www.researchgate.net/publication/288567204>

[14] <https://www.asminternational.org/bookstore>

[15] [http://polymeeri.tkk.fi/english/images/stories/research/bio\\_komposiitti.jpg](http://polymeeri.tkk.fi/english/images/stories/research/bio_komposiitti.jpg)

[16] <http://www.springer.com/978-3-642-38223-9>

[17] <http://www.intechopen.com>